



EDUCATION

STERIS UNIVERSITY



## Surveying a Sterile Processing Department - ASC

One Integrated Approach to Healthcare



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  - 0 AEU(s) & 0 IPCH(s) by **BASC** (Board of Ambulatory Surgery Certification), and
  - 0 contact hour(s) of continuing education credit
    - **ACI** (Association for Advancement of Medical Instrumentation (AAMI) Credentials Institute);
    - **CBRN** (California Board of Registered Nursing);
    - **CBSPPD** (Certified Board for Sterile Processing and Distribution); and
    - **IAHCSMM** (International Association of Healthcare Center Service Material Management).
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## Partnerships



**The Leader in Perioperative Certification**

*Through a partnership with CCI®, it also meets CNOR® and CSSM® recertification requirements for perioperative nurses.*

## Learning Objectives

Upon completion of this course, you will be able to:

- Review infection prevention challenges specific to ASCs
- Identify areas for improvement that focus on decreasing healthcare infections

## Common Processing Challenges for ASCs

- Space constraints
- Caseload vs. reprocessing speed
- No dedicated SPD staff
- Lack of education or competency



**60% Noncompliant to NPSG Standard IC.02.02.01**

The organization reduces the risk of infections associated with medical equipment, devices, and supplies.

The Joint Commission 2018

### Top 10 Health Technology Hazards for 2020

**ECRI**Institute  
The Discipline of Science. The Integrity of Independence.

1. Surgical stapler misuse.
2. Point-of-care ultrasound.
3. Sterile processing errors in medical and dental offices.
4. Central venous catheter risk in at-home hemodialysis.
5. Unproven surgical robotic procedures.
6. Alarm, alert and notification overload.
7. Connected home healthcare security risks.
8. Missing implant data and MRIs.
9. Medication timing errors in EHRs.
10. Loose nuts and bolts in devices.

### Infection Control Plan

Surveillance and Disease Reporting	Hand Hygiene	PPE	Injection Safety
Respiratory/ Cough Hygiene	Environmental Cleaning	Transmission based precautions	Reprocessing of Medical Devices

**“Do not get complacent about proper sterilization.”**

Dotty Bollinger RN, JD, CASC, LHRM (2012)  
COO, Laser Spine Institute

- Qualified infection prevention specialist
- Staff education
- Focus on plan basics
- Regular Surveys and Audits

### Infection Control Audit

- Direct observation
- Confirms compliance
- Identifies areas for improvement

Tool to get better!

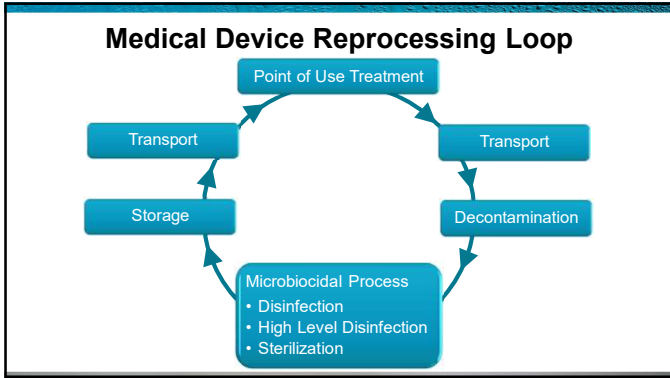
### Auditing Challenges

I have my regular job to do!


How will I find the time?

Who does the instrument reprocessing?

What am I supposed to be looking for?




### Point of Use Treatment



**During Procedure**

- Remove gross soil
- Keep Moist
- No saline


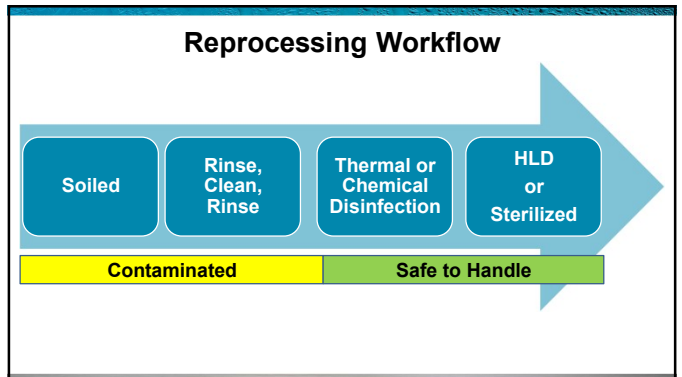


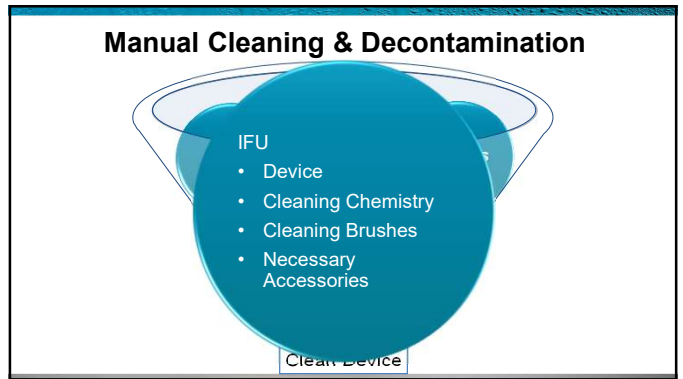
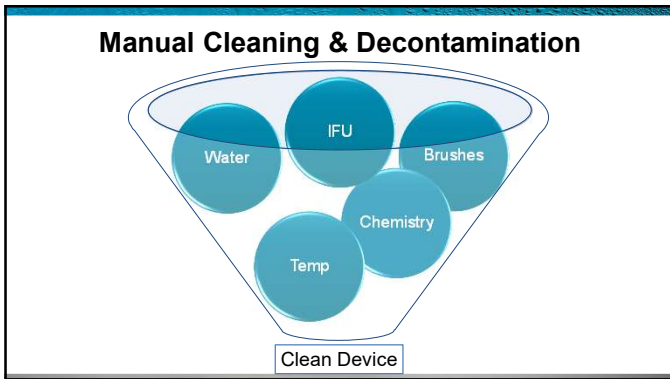
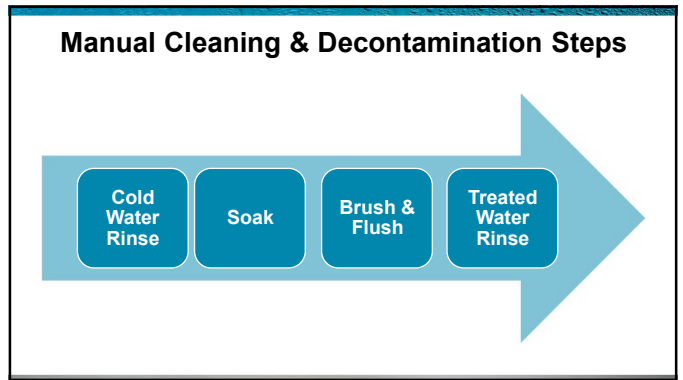
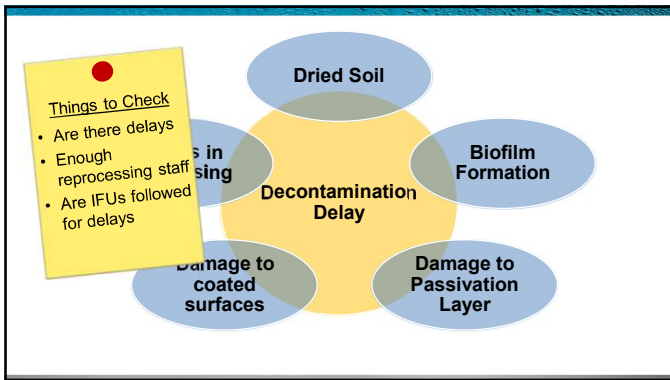
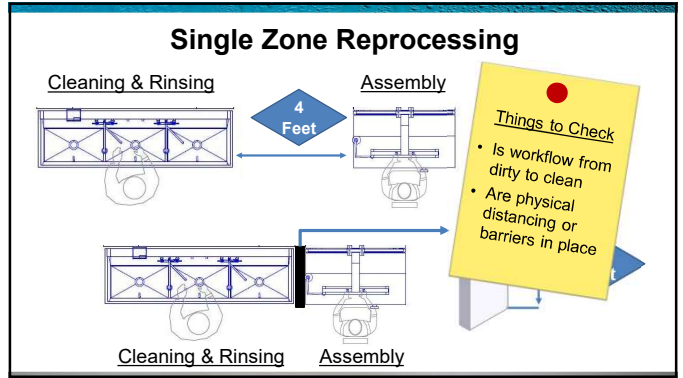
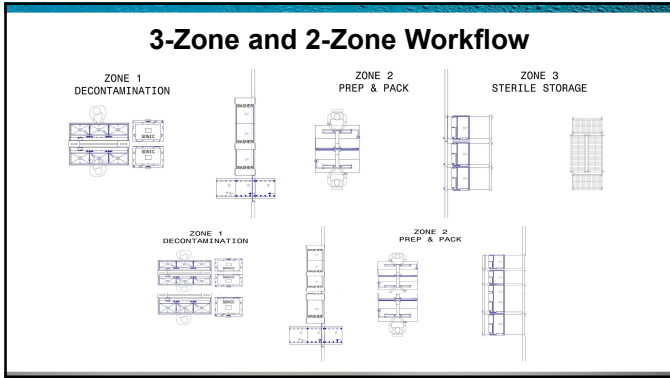
**Post Procedure**

- Remove gross soil
- Discard waste
- Disassemble per IFU
- Keep moist for transport

### Soiled Transport – O.R.

- Puncture-proof container with lid or case cart
- Instruments kept moist
- Labeled BIOHAZARD
- As soon after procedure as possible



### Manual Cleaning & Decontamination

#### Chemistry

- Correct Dilution
- Non-abrasive
- Non-foaming
- Formulated for medical devices

Clean Device

### Manual Cleaning & Decontamination

#### Temp

- Correct for chemistry
- Maintained

Clean Device

### Manual Cleaning & Decontamination

#### Brushes

- Right type
- Right size
- Regularly Disinfected
- Do not reuse single use

Clean Device

### Manual Cleaning & Decontamination

#### Water

- Mineral and metal ion
- Deionized / reverse osmosis rinses
- Bacteria
- Endotoxin

Clean Device

### Manual Cleaning & Decontamination

#### Things to Check

- Make IFU available
- Staff educated and competent
- Right cleaning tools available

Water

#### Things to Check

- Bacterial and Endotoxin water testing
- Ensure DI or RO water is used for rinses

Brushes

#### Things to Check

- Confirm dilution rates and temps
- Confirm chemistry is right for the devices and water quality of the facility

Clean Device

### Automated Cleaning

Cold Water Rinse

Soak

Manual Cleaning

Automated Cleaning

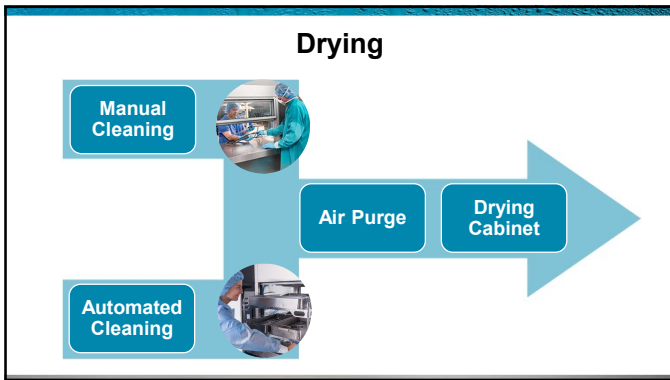


### Automated Cleaning Equipment

- Water & cleaning chemistry
- Dilution rates
- Lumen flow & adapters
- Daily performance testing
- Cleaning & disinfection schedule
- Preventative maintenance

Things to Check

- Confirm the right cleaning chemistry
- Check dilution rates
- Flow connectors used
- Verify performance testing
- Confirm disinfection schedule
- Review PM records



### Pass Through

- Maintain positive pressure flow
- Drying station
- Cleaning & disinfection schedule
- Preventative maintenance

Things to Check

- Observe that the window is kept close
- Regularly replace absorbent material
- Check completion of cleaning and disinfection
- Review PM records

### Drying Cabinets

- Temperature control
- HEPA filter air
- Lumen adapters / connectors
- Cleaning & disinfection schedule
- Preventative maintenance

Things to Check

- Check temperature requirements and monitoring log
- Check adaptors and connectors
- Review filter & PM records

### Inspection for Residual Soil

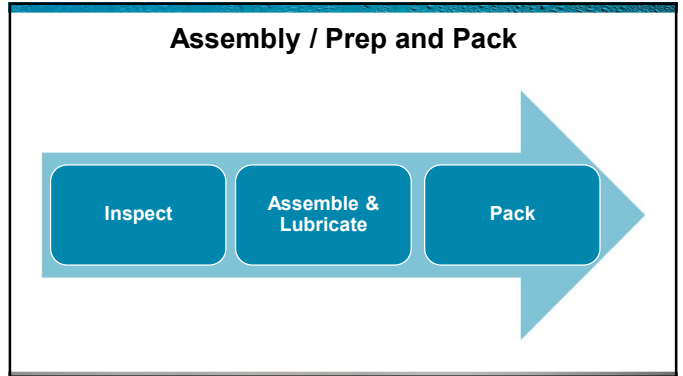
- Lighted magnified inspection
- Borescope
- Residual soil tests
  - Many types
  - Frequency and scope determined by facility
  - Risk assessment

Things to Check

- Check lighted magnification
- How and when the borescope is used
- Residual soil testing in risk assessment
- Review soil testing records



**Assembly /  
Prep & Pack**



**Workstation**

- Organized / clean
- No food, lotions, drinks, etc.

**Inspection**

- Lighted magnification / borescope
- Visible soil & soil tests
- Moisture
- Device damage
- Functional testing
- Lubrication, if applicable

Things to Check

- Lighted magnification and borescope
- Residual soil testing is completed
- Review the damage device process
- Confirm medical grade lubricants

**Assembly Prepares Device & Device Sets**



High Level  
Disinfection




Liquid  
Chemical  
Sterilization



Terminal  
Sterilization

**Assembly - Steam**

- Instrument Labeling
- Instrument positioning
- Set weight
- Water



### Assembly – Instrument Protection

- Instrument holders / pouches
- Tip protectors
- Mats

**Things to Check**

- Staff competency
- Reconcile IFU for set weights

**Things to Check**

- Compatibility for accessories, devices and containment devices

**Things to Check**

- Tip protector and matt use
- Check on water and personal items

### Packaging & Labeling

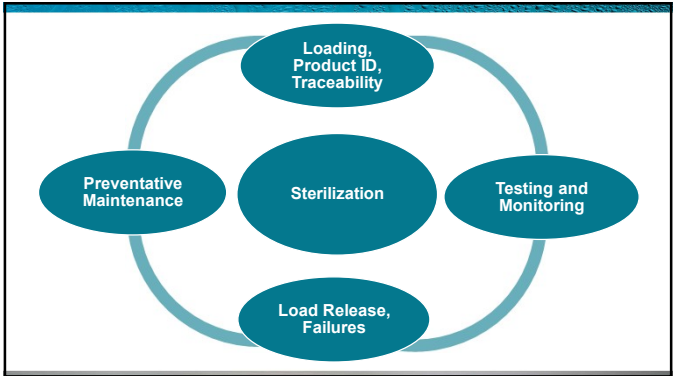
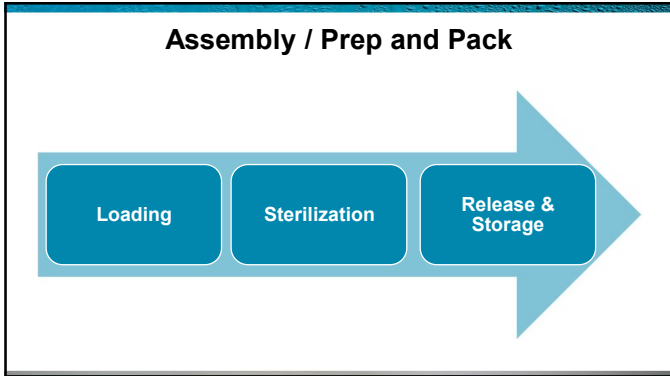
Small Light-weight Items  
Use before Expiration Date

Correct Weight & Size  
Inspect for Defects  
Store per IFU

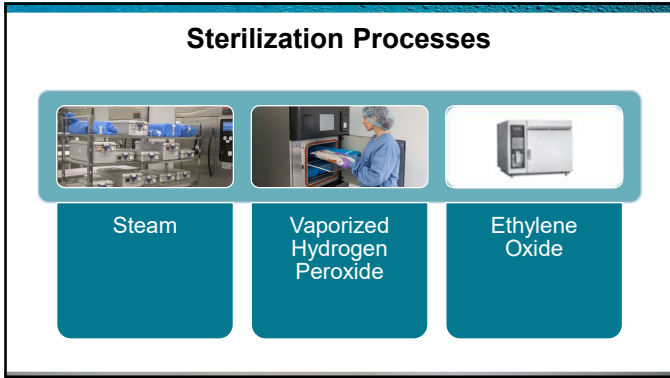
Correct Filters & Accessories  
Inspect for Damage & Cleanliness

Non-toxic ink  
 Load label  
 Tamper arrow  
 Packaging tape

## Sterilization







### Steam Cycle Choice

**Gravity**

- 30 min exposure at 250°F
- 15 min exposure at 270°F

**Prevacuum**

- 4 min exposure at 270°F
- 3 min exposure at 275°F

**Steam-Flush Pressure-Pulse (SFPP)**

- 4 min exposure at 270°F
- 3 min exposure at 275°F

*Things to Check*

- Long time
- Load Weight
- Extended cycles
- Standardized cycles
- Few cycles
- Few assurance products

### Loading - Steam

- Pouches and textiles on edge
- Containers and trays flat
- Basins tilted to drain
- Heavy on the bottom

### Unloading

- Low traffic area
- Away from vents

### Immediate-Use Steam Sterilization

**Urgent** Clinical Situations

↓

**Immediate Use**

*Things to Check*

- IFU available and followed
- Competencies complete
- Container validated of IUSS
- Volume and reasons are consistent with CMS guidelines

### VHP Cycle Choice

**Lumen Cycles**

- Lumen or cannulated devices
- Mixed loads

**Non Lumen Cycles**

- No lumens or cannulation

**Flexible Cycles**

- Flexible endoscopes
- Mixed loads

*Things to Check*

- Right cycle
- Compatible
- IFU / Device Matrix

### Loading - VHP

- Placed flat
- Do not touch plasma coil
- Follow loading charts

### Unloading

- Use gloves

### Sterilization Process Monitoring

Cycle print out	External chemical indicators
Internal chemical indicators	Biological indicators
Process challenge device	Special tests

### Sterility Assurance

- Qualification
- Routine monitoring
- Load release
- Package release
- Product quality assurance tests

**Things to Check**

- Qualification test records
- Routine and load monitoring standards
- Product testing?

### When is Qualification Needed?

- Installation
- Relocation and changes in utilities
- Sterilization malfunctions or failures
- Major repairs

- 3 consecutive test cycles
- Process Challenge Device (PCD)
- Special Tests, if applicable

### Option

Physical Monitors

External Chemical Indicators

Chemical Process Challenge Device

Biological Process Challenge Device

**Things to Check**

- Implant load monitoring
- Record complete
- Are loads quarantined

### Emergency Release

- Documented medical exception
- Exception not rule
- Policy and procedures
- Trending

Things to Check

- Trending
- Actively reducing IUSS usage

### Documentation Needs

### Equipment Records, continued

Tests
• Equipment cleaning & verification
• Leak test
• Bowie-Dick test
• Routine biological indicator
• Qualification test results
• Product testing test results

### Equipment Records

Service & Maintenance
• Daily maintenance activities
• Preventative maintenance records
• Repair records
• Calibration records
• Installation records
• Relocation records
• Changes to equipment parameters settings

### Facility Records

Maintenance
• Corrective actions for steam delivery system
• HVAC parameters by room for the department
Tests
• Assessment of steam quality
• Assessment of water quality (tap and treated)
• Routine testing of safety equipment, such as eyewash stations

### Sterilization Records

Records
• Load/lot number identification
• Detailed load content list
• Sterilizer cycle printout
• Sterilization cycle parameters
• Operator identification
• Biological indicator PCD results
• Chemical indicator PCD results

## Sterilization Records cont'd

### Records

- Reports of inconclusive or non-responsive chemical indicators
- Emergency release documentation
- Traceable

## Administrative Records

### Records

- Competencies
- Audit findings and corrective actions
- Additional medical device instructions
- Failure tracking and corrective actions
- Recall records
- Critical supplier communications / recalls
- Loaned equipment transactions
- Continuous quality improvement records

### Things to Check

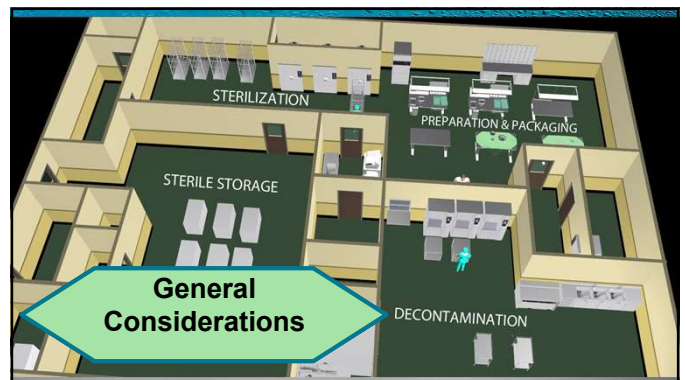
- Audit documentation
- Compare against the standards

## Types of Electronic Documentation Systems

- Document management systems (DMS)
- Semi-automated systems (SAS)
- Workflow management systems (WMS)

### Things to Check

- Audit documentation
- Compare against the standards



## Personal Protective Equipment (PPE)

Dirty Side

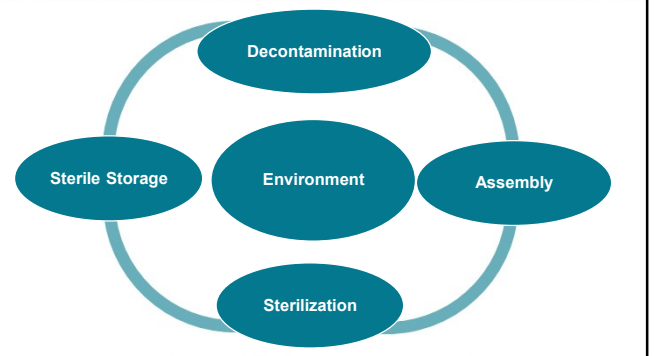
Clean Side

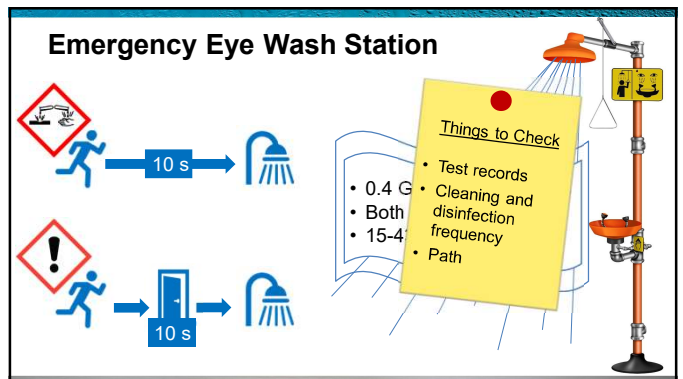
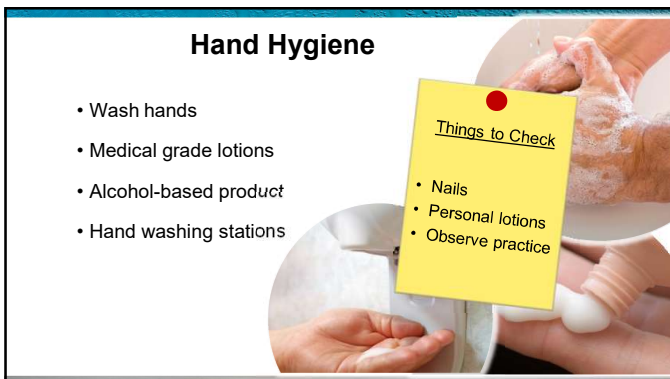
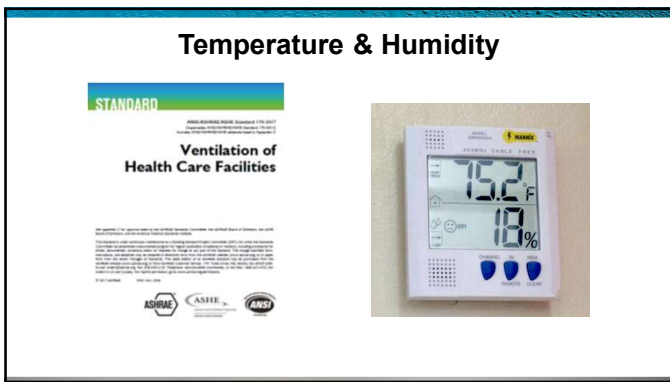
### Things to Check

- Right PPE for the job
- Observe use
- Donning and doffing

- Face shield
- Surgical mask
- Fluid resistant gown
- Chemical gloves

- Chemical gloves
- Thermal resistant gloves
- Safety goggles / glasses






### Surfaces

**Have:**

- Smooth
- Nonporous
- Compatible

**Avoid:**


- Textured
- Porous
- Non-compatible



### Sources of Environmental Contamination




### Environmental Services (EVS) in SPD



Cleaning protocol for each area

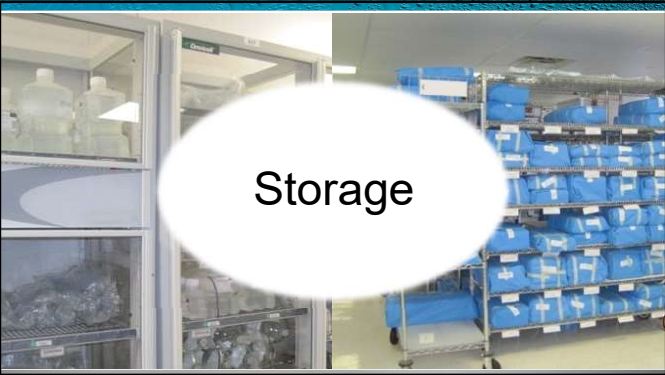
Things to Check

- Trash & Linen Schedule
- PPE and Attire
- Cleaning Chemistry
- Disinfectants



EVS staff competency

### Storage



### Sterile Storage




- Clean and organized
- Restricted access
- Environment monitored
- Cleaned regularly




### Things to Check

Things to Check


- Storage
- Hang time records
- Hang Time risk Assessment




Vertical storage

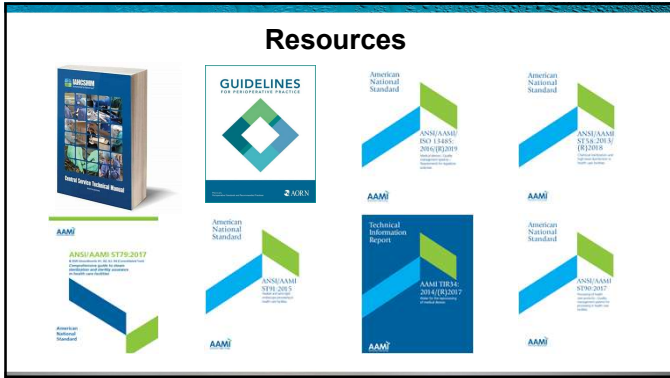


Closed cabinet



Filtered air circulation





## Action Plan

- Survey the SPD
- Develop a plan to address gaps
- Educate, train and assess



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## Questions



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- Thank you for attending this CE activity
- Please complete and submit the evaluation form



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